

IFW

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. 32103	
In Re Application Of: Mark Zeh et al					
Application No. 10/825,812	Filing Date 4/16/2004	Examiner To be assigned	Customer No. 29668	Group Art Unit To be assigned	Confirmation No.
Title: ORAL COMPOSITION APPLICATOR DEVICES INCLUDING PIERCING SECTION AND RELATED METHODS					
Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450					
37 CFR 1.97(b)					
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.					
37 CFR 1.97(c)					
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:					
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);					
OR					
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).					

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

32103

In Re Application: Mark Zeh et al

JUL 19 2004

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/825,812	4/16/2004	To be assigned	29668	To be assigned	

**ORAL COMPOSITION APPLICATOR DEVICES INCLUDING PIERCING SECTION AND RELATED METHODS****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 23-0458 as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☐ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

(Date) \_\_\_\_\_

Signature \_\_\_\_\_

Typed or Printed Name of Person Signing Certificate \_\_\_\_\_

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on 7/15/2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*Christine Avenoso*  
Signature of Person Mailing Correspondence

Christine Avenoso

Typed or Printed Name of Person Mailing Certificate \_\_\_\_\_

\*This certificate may only be used if paying by deposit account.

Dated: July 15, 2004

*Kenneth G. Lemke*  
Signature  
Kenneth G. Lemke  
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Mark Zeh et al

Serial No.: 10/825,812

Filed : April 16, 2004



Art Unit : To be Assigned

Examiner : To be Assigned

For : ORAL COMPOSITION APPLICATOR DEVICES INCLUDING PIERCING SECTION AND  
RELATED METHODS

Date :

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R § 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449 submitted in accordance with 37 C.F.R § § 1.98 (a) (1) and 1.98 (b). It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein. In accordance with 37 C.F.R § 1.97 (b) (3), this Information Disclosure Statement is being submitted within three months of the application filing date.

While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. § § 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney or agent of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R SECTION §1.8

I hereby certify that this Information Disclosure Statement and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this 7/15/2004 date, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

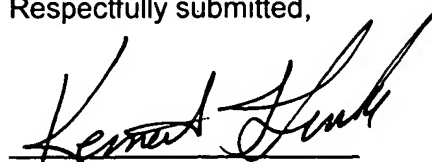
  
Christine Avenoso

Ids3210351204

Please credit any overpayment or charge any additional fees to Deposit Account No.:  
23-0458.

Date: 7-15-04

Respectfully submitted,



Kenneth G. Lemke  
Attorney for the Applicant  
Reg. No. 47,746

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CUSTOMER NO. 29668

Form PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 32103		SERIAL NO. 10/825,812	
<b>INFORMATION DISCLOSURE CITATION</b>  <i>(Use several sheets if necessary)</i>				APPLICANT Mark Zeh et al			
				FILING DATE April 16, 2004		GROUP ART UNIT To be assigned	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
		US001002468					
		US001189698					
		US001768301					
		US001780066					
		US001796893					
		US001891324					
		US002053145					
		US002111880					
		US002155245					
		US002206726					
		US002244699					
		US002250758					
		US002299564					
		US002311760					
		US002323378					
		US002459285					
		US002468733					
		US002476686					
		US002507250					
		US002509339					
		US002521928					
		US002527741					
		US002545814					
		US002601244					
		US002717101					
		US002732576					
		US002879533					
		US003165776					
		US003261367					

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EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
		US003356095					
		US003417762					
		US003536410					
		US003589822					
		US003589823					
		US003593725					
		US003734106					
		US003738762					
		US003879139					
		US003910706					
		US003917420					
		US003937582					
		US004036412					
		US004039261					
		US004056110					
		US004124316					
		US004128910					
		US004155663					
		US004265560					
		US004269207					
		US004415288					
		US004421433					
		US004467822					
		US004522524					
		US004530369					
		US004580587					
		US004580588					
		US004582075					
		US004583563					
		US004622984					

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EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
		US004692047					
		US004693622					
		US004717278					
		US004783874					
		US004844641					
		US004850730					
		US004886389					
		US005017036					
		US005026191					
		US005032082					
		US005040260					
		US005040553					
		US005123765					
		US005350248					
		US005392482					
		US005403105					
		US005425590					
		US005425591					
		US005439014					
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		US005464294					
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		US005584593					
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		US005802656					
		US005827001					

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EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
		US005842487					
		US005896614					
		US005909977					
		US005913632					
		US005915868					
		US005918996					
		US005930860					
		US005950641					
		US006022162					
		US006041467					
		US006095710					
		US006142694					
		US006202248					
		US006213663					
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		US006238118					
		US006241412					
		US006244777					
		US006260227					
		US006331088					
		US006334451					
		US006345405					
		US006386779					
		US006386783					
		US006390103					
		US006397859					
		US006406207					
		US006463937					
		US006513182					
		US006533485					



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EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
		US006553604					
		US006602013					
		US006609848					
		US006654979					
		US0D0111609					
		US0D0282698					
		US0D0336567					
		US0D0364274					
		US0D0368803					
		US0D0377417					
		US0D0402116					
		US0D0402117					
		US0D0402473					
		US0D0403510					
		US0D0420806					
		US0D0422143					
		US0D0424808					
		US0D0425306					
		US0D0426708					
		US0D0434906					
		US0D0434908					
		US0D0443143					
		US0D0444629					
		US0D0446393					
		US0D0448171					
		US0D0448172					
		US0D0448173					
		US0D0448569					
		US0D0449448					
		US0D0450457					

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		US0D0451286					
		US0D0451678					
		US0D0456607					
		US0D0475530					
		US0D0477465					
		us20010023516					
		us20020114658					
		us20020138926					
		us20030150472					
		us20030208865					
		us20030215282					
		US0053807A1					

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<b>FOREIGN PATENT DOCUMENTS</b>								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
	/	FR2646068A1						
	/	FR2651102A1						
	/	FR2696916A1						
	/	FR2734695A1						
	/	FR2780254A1						
	/	FR2822658A1						
	/	GB2070922A						
	/	GB2194728A						
		GB2210778A						
	/	JP407327738A						
		JP409215524A						
	/	WO02058508A2						
	/	WO9508934A1						
	/	WO9616572A1						
	/	WO9713428A1						
		WO04021914A2						
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>								
<b>EXAMINER</b>					<b>DATE CONSIDERED</b>			
* <b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next communication to applicant.								

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<b>U.S. PATENT DOCUMENTS</b>								
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE	
		10/826,117		Oral Composition Applicator Devices Including Twist Off Closure Member and Related Methods			4/16/2004	
		10/825,915		Oral Composition Applicator Devices Including Cap Actuated Dispensing and Related Methods			4/16/2004	
		10/835,940		Oral Composition Applicator Devices Including Movable Applicator Surface and Related Methods			4/16/2004	
		29/203617		Applicator Device			4/16/2004	
		29/203616		Applicator Device			4/16/2004	
		29/203623		Applicator Device			4/16/2004	
		29/203611		Applicator Device			4/16/2004	
		29/203612		Applicator Device			4/16/2004	
		29/203610		Applicator Device			4/16/2004	
		29/203619		Applicator Device			4/16/2004	
<b>FOREIGN PATENT DOCUMENTS</b>								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
	/	DE19509339A1						
	/	DE3324164A1						
	/	DE3528596A1						
	/	DE3638696A1						
	/	DE4429032A1						
	/	EP0290873A2						
	/	FR2550429A1						
	/	FR2579874A1						
	/	FR2592287A1						
	/	FR2617695A1						
	/	FR2626454A1						
	/	FR2639804A1						